



Case Summary: 2022-01

Adjudicating Sub-Committee Hearing Date: December 16, 2021

General Summary:

File opened: May 13, 2020

The matter was brought to the attention of the AIC by a provincial association. The Member submitted an application to AIC in mid-2015 in which they certified and pledged there were no material challenges to their good moral character or integrity and failed to disclose information in the Declaration relating to a criminal record or fraud. The Member failed to declare information pertaining to alleged criminal activity involving fraud in Canada in 2010.

The complaint file was opened as an issue arising by the AIC in accordance with AIC Consolidated Regulations.

The Member resigned when contacted by the Counsellor with a question about the alleged criminal activity. The complaint file was brought before an Adjudicating Sub- Committee hearing panel for a final decision in accordance with AIC Consolidated Regulations.

Complainant Allegations:

The Member failed to disclose involvement in a criminal matter related to fraud in an application submitted to the AIC.

Issues Arising from the Complaint Review:

n/a

Adjudicating Sub-Committee Decision dated Month, day, year

Breaches of CUSPAP 2020:

Ethics Standard Rule: 4.2.1 It is unethical for a Member to knowingly fail to comply with the Bylaws, Regulations, Standards, Policies and Professional Liability Insurance Program of the Institute.

Ethics Standard Rule: 4.2.4 It is unethical for a Member to act in a manner that is fraudulent.

Ethics Standard Comment: 5.1.5 Conduct by a Member that results in criminal charges may be subject to sanctions in accordance with AIC Consolidated Regulations.

Ethics Standard Rule: 4.2.8 It is unethical for a Member to refuse to co-operate with the Institute.

Ethics Standard Comment: 5.5 - Co-operate

5.5.3 A Member must not submit false or misleading information to the Institute.

Discipline:

Section 5.35.1 Reprimand. A Reprimand is a written warning calling the attention of a Member to a breach of the Institute By-Laws, Code of Conduct, Regulations, Policies, or CUSPAP.

Section 5.35.4 Fine. A fine in the amount of \$1,000.

Costs (Section 5.38):

Costs in the amount of \$500 were levied.

Other Comments:

If the former member applies for re-instatement, the Sanctions and costs would become a prerequisite for reinstatement.